If “Class” is the answer, what is the question?

The concept of class is one of the most contested concepts within sociology. Sometimes this is just a question of how the word class is being used, but behind the alternative uses of the term there often lurks deeper theoretical disagreements about how best to understand the nature and consequences of economic inequality in contemporary societies. This seminar will explore in a systematic and rigorous manner the full range of alternative conceptualizations of class. The focus will be on contemporary approaches, although there will be some attention to classic statements. The course will give students an opportunity both to gain a deeper understanding of the substantive issues around the analysis of class, but also to engage in a very fine-grained manner the problem of carefully defining concepts. Sociologists often take a quite casual attitude towards the problem of concept-formation. While they may worry quite a bit about the theoretical arguments that link concepts together, much less attention is frequently paid to the underlying logic of the abstract definitions of the concepts themselves.

At the center of the seminar will be the discussion of an unpublished book manuscript to be published by Cambridge University Press with the working title Alternative Foundations of Class Analysis. This book contains seven chapters written by prominent sociologists working in different theoretical traditions of class analysis each of whom was asked to prepare a kind of manifesto laying out the core principles and reasoning of their approach: Erik Olin Wright (Marxist class analysis), Richard Breen (Weberian class analysis), David Grutsky (Durkheimian class analysis), Loic Wacquant (Bourdieu class analysis), Aage Sørenson (Ricardian class analysis), Michael Mann (historical agency class analysis), and Jan Pakulski (anti-class analysis). Readings for the course will consist of these chapters plus additional material in the principle traditions of class analysis.
Writing Requirements

There are three categories of written requirements for the seminar:

1. **Weekly reading interrogations**

I strongly believe that writing is central to reading and that students should be in the habit of writing memos interrogating anything they read. These should not be standard “reading notes” summarizing main points in an argument, although summaries may be part of such notes. Rather they should be conversations with the readings in which issues are raised, arguments analyzed, problems discussed. These interrogations will form a substantial basis for the seminar discussions so it is worth taking the task seriously. I have no length specification for these interrogations. It is fine for them to be quite short – say 200 words or so – but longer memos (within reason – remember: everyone in the class will read them) are also OK. These memos should be emailed to everyone in the class by 6 pm on the Monday night before the seminar meets. Everyone should try to read all of these memos before coming to class on Tuesday morning.

2. **Commentaries on book chapters**

On the weeks in which we will be reading draft chapters of the book, *Alternative Foundations of Class Analysis*, instead of the regular reading interrogation students should prepare written commentaries on the chapter which will be sent to the author. You should read these chapters as if you were a reviewer for the publisher, preparing comments to improve the quality of the book. The issue here is especially the coherence and clarity of the exposition, so comments pinpointing places where the arguments are confusing or where there seem to be gaps in the reasoning will be especially welcome. These comments should be in the 250-500 word range (1-2 double spaced pages). These commentaries should also be emailed to everyone in the class by 6 pm on the Monday night before the seminar meets.

3. **Term papers**

Term papers for the course are due on the last day of class. Requests for extensions/incompletes should be submitted well in advance of this deadline. There is no fixed length for term papers, but the rough expectations is something in the 20-25 page range (5000-7000 words more or less). Term papers for the seminar can be on any topic linked to the central themes of the course. Since our discussions will mainly be theoretical, the most natural term paper would be focus on the conceptual and logical issues in various kinds of class analysis. However, more empirically-oriented papers are also possible.

Below are some broad suggestions for possible term paper topics:

- Explore the foundations of some of the approaches to class analysis which we have not covered in the seminar such as the functionalist stratification approaches of the 1950s; the gradational and status-centered class analysis of W. Llyod Warner and others; the Marxian class analyses of French structuralist Marxism (Poulantzas, Balibar, Althusser); Gramsci’s approach to class; E.P. Thompson’s historicist approach, etc.

- Take one of the broad traditions we have explored and then map out the variations within that “school” or tradition – varieties of Weber-inspired class analysis, varieties of Marx-inspired class analysis, perhaps varieties of Bourdieu-inspired class analysis, etc.
• Examine the implications for philosophical/normative discussions of justice and inequality of different views of class analysis.

• Take some interesting piece of empirical/historical scholarship in which class-relevant issues play an important role and explore the implications of alternative conceptualizations of class for the way the case study would be developed. This would need to be a fairly fine-grained analysis, not just a quick gloss. Examples of some studies which might be useful foils for such an analysis would include:

  Bernacki, *Fabrication of Labor* (University of California Press)
  Ehrenreich, *Nickle and Dimed*
  Chakrabarty, *Rethinking Working Class History: Bengal 1890-1940.*
  Fantasia, *Cultures of Solidarity*
  Willis, *Learning to Labour*
  Zussman, *Mechanics of the Middle Class: work and politics among American Engineers*
  Whalley, *The Social Production of Technical Work*
  Crawford, *Technical Workers in an Advanced Society: the work, careers and politics of French Engineers*

Written proposals for term papers should be submitted *no later than October 30, the 9th week of class.*

**Presentations of Term Papers**

In the last two weeks of the semester students will present their term papers to the class. This will take the form of 15-20 minute talks followed by 15-20 minutes of discussion. These talks should be thought of as the kind of presentation you might have to do at a professional meeting like the ASA some day. If there are not enough volunteers for week 14 of the semester, there will be a lottery to see who gets to present on the last week. (I am assuming that most students would prefer the last possible time).

**Seminar sessions**

The seminar discussions will revolve, to a significant extent, around the issues raised by the weekly written memos. I will review these memos and distill a core agenda for the seminar each week, which is one reason why it is important for you to get the memos to me by email by 6:00 Monday evenings. Depending upon how things go, I may also ask students to elaborate their memo as an impromptu presentation so you should be prepared to talk about them. If it turns out that we need more structure to the sessions (this depends a lot on the cast of characters around the table....), then we may need to have more formal introductory presentations.

Often in graduate seminars that deal with abstract theoretical matters students are eager to launch into intense debates over the adequacy of various formulations before the details and rationale for the arguments have been really nailed down. For each of the bodies of work we discuss I feel it is very important to spend time at the beginning of each session carefully laying out the arguments in a fine-grained way in order to be sure that we all fully understand the work in question. As an intellectual stance to studying this material, I think it would be good for everyone to play the role of a defender and explicator of each approach we study as well as critic.

One other note on the seminar sessions. Academics (not just students) are often better at talking than listening, yet a good seminar depends as much on everyone listening to each other as it does on making fine speeches. Without good listening, the discussion is not a conversation but a disjointed series of proclamations.
**Readings**

There is quite a lot of reading in this seminar. In the reading list which follows I have designated certain readings as “required” and others as “supplementary.” If possible it would be good to dip into the supplementary reading, but I will not expect you to read much of it.

Most of the readings are on *Electronic Reserve* on the Social Science Reference Library website – [www.library.wisc.edu/libraries/SocialSciRef/](http://www.library.wisc.edu/libraries/SocialSciRef/) – under the listing for Sociology 929. In the syllabus these are marked with an asterisk: *.* You can either read these items online or print them out. In taking notes and writing commentaries on the readings, remember that you can “cut and paste” excerpts from the adobe reader directly into your word-processing file.

In addition, four books are at the Rainbow Bookstore Cooperative on Gilman Street:

- Charles Tilly, *Durable Inequality* (University of California Press, 1998)
SEMINAR SESSIONS AND READING ASSIGNMENTS

* = readings on electronic reserve at: www.library.wisc.edu/libraries/SocialSciRef/

Session 1. 9/4  Introduction

I. Marxist Tradition of Class Analysis

Session 2. 9/18 Marx’s Approach to Class

Marx never systematically elaborated his concept of class. In the one place where he began such an elaboration – the last chapter of volume III of Capital – the manuscript ends after a few short paragraphs with the sad editorial note by Engels “Here the manuscript breaks off.” Yet no concept figures more centrally within his analysis of capitalism and history. Since Marx’s analyses of class constitute the backdrop for most subsequent theoretical arguments – either in the form of elaborations and extensions or attacks and counter-proposals – we will begin the semester by looking at Marx’s own treatment of class and a number of commentaries/interpretations of his texts.

In the readings below, the Communist Manifesto is the treatment of class in Marx’s work that is the best known and most influential both within sociology and in the world at large. While written as a political tract designed to serve inspirational as well as analytical purposes, it nevertheless contains a sketch of a theoretically powerful understanding of class relations. The second Marx reading, “Wage Labour and Capital,” is a semi-popular pamphlet Marx wrote (which was later revised and corrected by Engel’s) in which he lays out the central ideas about class and capitalism later developed at length in Capital. While Capital is certainly a more sophisticated and elaborate treatment, the class analysis presented in the earlier work is basically the same. The commentaries on Marx by Jon Elster and G.A. Cohen provide useful systemizations of Marx’s concept of class. The Cohen reading from Karl Marx’s Theory of History is quite long and very detailed, but the analytical precision of his exposition makes it worthwhile to read this carefully. The final Cohen reading provides a very useful characterization of how the simple polarized concept of class in Marx figures into the broad agenda of Marxist theory.

Required Readings:

* Karl Marx, The Communist Manifesto, parts I and II

* Karl Marx, “Wage Labour and Capital”


Supplementary Readings: Other commentaries and interpretations of Marx’s approach to class


**Session 3. 9/25 Erik Olin Wright’s Reconstruction of Marxist Class Analysis**

Since the mid-1970s I have been struggling with the problem of class and class analysis in the Marxist tradition. The starting point was fairly pragmatic: I wanted to do some quantitative research using the Marxist class concept – to show its explanatory power to skeptical sociologists – and I faced the problem of what to do with the “middle class.” This lead me through a series of efforts to provide systematic theoretical foundations for a reconstructed Marxist concept of class that would simultaneously be empirically useful and coherently integrated into the broader framework of Marxist theory. In the readings below, the first reading represents my most recent thinking on the problem as presented in my book *Class Counts* and links this formulation to the broader context of Marxist theory. The next reading, chapter 2 from *Classes*, provides a summary of my earlier work, elaborates the general methodological problem of concept formation and discusses the theoretical dilemmas I was trying to solve. Chapter 3 in *Classes* then proposes a way of solving the limitations of my early work through reconstruction of the concept of class in terms of a multidimensional view of exploitation. The final reading, from *Class Counts*, pulls back from the formulation in *Classes* and adopts a conceptual framework that in some ways is a combination of my first two strategies of analysis. The supplementary readings contain a number of critiques of my various attempts at developing a coherent Marxist class concept. If you have time it would be good to read some of these.

**Required Readings:**


**Supplementary Readings: Critiques of Wright**


Session 4. 10/2 Theoretical Issues in the Concept of Exploitation

The problem of “exploitation” is the pivot of the Marxist concept of class. If one drops exploitation from the analysis, Marxist class analysis becomes almost indistinguishable from Weberian approaches and class becomes a way of talking about the economic determinants of life chances. In this session we will look closely at the concept of exploitation, both in its classical formulation within the Labor Theory of Value (as explicated in the Sweezy reading) and in some contemporary reformulations. The Elster reading is primarily exegetical, although it contains a range of important critical commentaries as well. Cohen argues that the idea of exploitation in no way depends upon the LTV, and indeed is in a certain way at odds with the labor theory of value. Roemer proposes a fairly radical reworking of Marx’s approach to exploitation, disengaging it completely from the labor theory of value and specifying it within the methods of analytical economics. Roemer’s work is difficult, but it is worth struggling with. While my own approach to exploitation now differs significantly from Roemer’s, it was initially inspired by Roemer’s strategy. In the final selection, Roemer himself begins to question whether exploitation is all that important, and decides – incorrectly in my view – that Marxists need not worry about it.

Required Readings:


*Erik Olin Wright, Class Counts (Cambridge University Press, 1997), pp. 9-17


Supplementary Readings: Commentaries on Roemer’s Approach

*Alan Carling, Social Division (Verso: 1991), Part II. Market Exchange and Class Division, pp. 73-150


Supplementary Readings: Other readings on the Labor theory of value approach to exploitation

Karl Marx, Capital, vol. I
  Chapter 6. The Sale and Purchase of Labor power. pp.270-280
  Chapter 8. Constant Capital and Variable Capital. 307-319
  Chapter 9. The Rate of Surplus Value. 320-329
  Chapter 11. The Rate and Mass of Surplus Value. 417-426
  Chapter 12. The Concept of Relative Surplus Value. 429-438


Ian Steedman, et. al. The Value Controversy (London: NLB/Verso, 1981). Especially the following:
  Erik Olin Wright, “Reconsiderations”, pp.130-162

Additional readings by Roemer:


“Methodological Individualism and Deductive Marxism”, *Theory and Society*, 11:4, 1982

II. Weberian Class Analysis

Conventionally, Max Weber’s general perspective on class is viewed as the principle theoretical rival to Marx’s. In some ways this is misleading, for Weber’s definition of class, at least within capitalist society, overlaps with that of Marx in many respects – they both adopt relational conceptions of class, they both see class relations as built around the ownership and control of economically significant assets, and they both see the relation of people to these assets as shaping material interests. Yet, there are crucial differences. Two seem especially important: first, the absence of a exploitation in Weber’s conception of class relations, and second, the fact that Weber (and especially Weberians) give specificity to the problem of class through a series of contrasts with other principles of stratification, especially status.

Session 5.10/9  John Scott’s Reconstruction of a Weberian Class Analysis

John Scott presents one of the most systematic and sophisticated elaborations of Weber’s conceptual framework for class analysis. He is especially concerned with giving precision to the multidimensional space for relational inequality within which class plays a specific role. In the readings below I include the standard short piece by Weber on the “Distribution of Power within the Political Community” in which he provides his basic definitions of class, his quite cryptic chapter on “Status Groups and Classes”, and Anthony Giddens exegetical summary of Weber’s position. The primary reading is Scott’s book. While I have indicated which chapters are the most important for our purposes, if possible you should read the entire book.

Required Readings:


Supplementary Readings: Other class analyses in the Weberian tradition


Frank Parkin, Marxism and Class Theory: a bourgeois Critique (Columbia University Press, 1979)


Commentaries on Weber’s class analysis and comparisons with Marx:


Session 6.10/16 John Goldthorpe’s quasi-Weberian approach

John Goldthorpe is the most influential empirical researcher on class and class mobility in Britain. His work is primarily driven by empirical considerations rather than by a preoccupation with conceptual precision and theoretical elaboration. Nevertheless, in recent years he has devoted more attention to nailing down the theoretical principles underlying his work. He insists that his work is not “Weberian”, and in some respects his concern with what he terms “employment relations” has a closer affinity with Marxist concerns with production relations than traditional Weberian concerns with exchange relations. Still, Goldthorpe’s overall stance seems to most people quite connected to the Weberian tradition, particularly because of its preoccupation with “life chances”, and because, like other Weberians, he gives no space for exploitation in his analysis.

Goldthorpe declined my invitation to write a chapter for the Foundations of Class Analysis book, so a younger colleague of his, Richard Breen, took on the task. The framework he lays out, however, is basically Goldthorpe’s. The piece by Goldthorpe himself, in fact, can also be seen as a foundational statement of his approach to class. The other two readings constitute a kind of mini-debate over Goldthorpe’s approach as do the first three essays in the supplementary readings.

Required Readings:

*Richard Breen, Foundations of Class Analysis, Chapter 3. A Weberian framework for class analysis


**Supplementary Readings: Other work in the Goldthorpe mold & Debates over Goldthorpe’s work**


**III. Marx/Weber Amalgams**

There is no rule of sociological theory which says “thou shalt not mix and match conceptual traditions”. In various ways, many theoretically-minded sociologists who also get their hands dirty with empirical and historical research bring together Marxian and Weberian elements into some kind more or less integrated amalgam. Indeed, my own work on “contradictory class locations” has been called by some a Weberianization of the Marxist concept (see Gubbay’s review of my work in week 3). In the next two sessions we will examine two scholars whose work, in quite different ways, combines elements of Marx and Weber. One issue we should address here is whether the result is basically a pragmatic pastiche or a fully-integrated conceptual synthesis.

**Session 7.10/23 Charles Tilly’s Melding of Marx and Weber**

For most of his career Charles Tilly has been known as a leading historical sociologist working on problems of social movements, collective action and the state. He is most noted for what is called the “resource mobilization” perspective in social movements, where the emphasis is placed on the character of resources and constraints faced by movements rather than on their grievances in explaining collective action. In recent years he has produced a series of “big” statements on the large, enduring themes of macro-sociology – the development of the state, the relationship between large scale social structure and epochal patterns of social change, and now the nature of “durable inequalities”. His self-characterization of the perspective of this work is that it is a bridge between Marx and Weber, but in many ways it seems to me its underlying logic is more firmly rooted in Marx. Some people may find the book a bit annoying because of its self-representation as a grand synthesis of everything and its tendency to level straw-man-type attacks at various people, but I think it is worth reading as an attempt to elaborate a set of categories for encompassing virtually all forms of inequality within a single scheme.

The supplementary reading are papers from a symposium on Tilly’s book held at the annual meeting of the Social Science History Association.
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Required Readings:

Tilly, *Durable Inequality* (University of California Press, 1998)

Supplementary Readings:

*Symposium on Durable Inequalities in Comparative Studies in Society and History* vol 42:2, April 2000

*Erik Olin Wright, “Metatheoretical Foundations of Charles Tilly's Durable Inequality”, pp 458-474

*Barbara Laslett, “The Poverty of (Monocausal) Theory: A Comment on Charles Tilly's Durable Inequality, pp 475-481


*Charles Tilly, “Errors, Durable and Otherwise” pp. 487-93

Session 8. 10/30 Michael Mann’s Collective Actor centered model of Class

Michael Mann is a British historical sociologist (now teaching at UCLA) who has been engaged in a massive long-term project on the development of the state and, even more broadly, what might be called the history of power. The work is of extraordinary ambition, breadth and erudition. In volume II of the project he introduces a particular way of understanding class and its relationship to other forms of power. His ideas here are quite complex and not always entirely clear, but I think they pose an interesting challenge to both Marxist and Weberian approaches while drawing from both. At its core is the problem of collective action – of actors organized into collective social forces to pursue their goals – and the way classes are constituted through such collective action.

The book is quite massive and deals with many issues outside of the main concerns of the seminar. I have selected the chapters for particular attention that focus primarily on class.

Required Readings:


V. Neo-Ricardian Class Analysis

David Ricardo has not had much of a direct impact on sociology, but one of the central ideas in his work – the importance of *rents* in understanding prices and economic processes – can potentially be extended into a general sociological understanding of how the control of assets gives actors “surplus advantages”. A “rent” is an income that derives from the control over a valuable asset in short supply. In Ricardo’s analysis the asset in question was high fertility land: owners received a rent for such land because of its higher productivity even though the cost of producing such land was no different from any other land. Aage Sørensen has applied this idea to create a general
concept of class based on the idea of rent-seeking and rent-appropriating: classes are defined as categories of actors capable of appropriating rents by virtue of their monopoly position over specific kinds of assets.

Session 9. 11/6 Class as Rent-taking

Required Readings:

*Aage Sørensen, *Foundations of Class Analysis*, chapter 6. A neo-Ricardian framework for class analysis

Supplementary Readings: *A Symposium on Sørensen’s paper*


VI. Pierre Bourdieu

Pierre Bourdieu is the single most influential French Sociologist today, probably the only French sociologist most American sociologists have ever heard of. As is typical of much French sociology, his work often explores very abstract philosophical and metatheoretical questions, and – also in French fashion – his exposition of these abstract ideas is often rather vague and obscure. Nevertheless, his work is also usually intensely interesting, filled with juicy empirical observations and insightful theoretical formulations.

In terms of his work on class, the most important idea is the way in which classes are constituted through the location of people in a social space defined by their relation to a variety of *forms of capital* – cultural capital, social capital, symbolic capital, financial capital. Class analysis concerns the distributions of these forms of capital, the dynamic ways in which one form of capital can be converted into another, and the consequences of these distributions and dynamics for various empirical problems of social life.

Session 10. 11/13 Pierre Bourdieu’s approach to Class Analysis

In the first of the two weeks we will spend on Bourdieu we will read Loïc Wacquant’s chapter in *Alternative Foundations of Class Analysis* in which he lays out the central principles of Bourdieu’s approach along with a few pieces by Bourdieu. The excerpts from Bourdieu’s book *Distinction* are probably the most important, but the two articles (and the exegesis by Brubaker) are probably somewhat more accessible.

Required Readings:

*Loïc Wacquant, *Foundations of Class Analysis*, chapter 4. Bourdieu’s approach to class analysis


Session 11. 11/20  A second appraisal of Bourdieu's approach

In the second week of our discussions of Bourdieu we will read a paper by Elliott Weininger (a recent PhD in Sociology from CUNY) on the underlying explanatory logic of Bourdieu’s approach and how this impacts on the kind of class concept he develops. The causal logic of Bourdieu’s analysis is quite elusive and slippery, but coming to terms with it is important if we are to understand how the multidimensional space of forms of capital is linked to class practices, class power and struggles. I have invited Wieninger to come to Madison to join us in the seminar session, so students should read his paper especially carefully.

Required Readings:


VI. Neo-Durkheimian Class Analysis

Durkheim is not generally viewed as a primary sources for class analysis. He hardly ever uses the term. Yet there are ideas within Durkheim which can be deployed as the basis for elaborating class-like concepts. David Grusky, a former student of Bob Hauser and a hard-core number-crunching stratification researcher, proposes to ground the concept of class within the analysis of the division of labor. One of the issues to think about in reading his approach is whether there is any “value-added” in calling the categories he generates through this approach “classes”.

Session 12. 11/27  Locating Class in the Division of Labor

Required Readings:

*David Grusky, Foundations of Class Analysis, chapter 5. “A neoDurkheimian framework for class analysis”

VII. The Death of Class

After spending a semester deeply exploring some of the important approaches to class analysis, it may seem absurd to entertain the idea that class has become an irrelevant category of social analysis and that class analysis obscures rather than clarifies the important structural and dynamic features of contemporary society. Yet this is a view held by an increasing number of sociologists, and it is a view that has a certain real influence even among critical scholars. Jan Pakulski is a Polish-born Australian sociologist who positions himself on the left and is certainly a critic of domination, inequality and oppression, but nevertheless feels that class is dead. Paul Kingston, less clearly identified with critical traditions of thought, goes further and argues we are in what is tantamount to a classless society. Rather than dismiss these views out of hand, we should interrogate them closely.

Session 13. 12/4  Is Class still a central dimension of social structure?

Required Readings:


**Supplementary Readings: a debate over the death of class thesis**


Jan Pakulski and Malcolm Waters, *The Death of Class* (Sage, 1996)

**VIII. Presentation of Term papers**

There will be no assigned readings for the last two weeks of the semester. During these sessions students will present their term papers to the class.

**Session 14. 12/11**